Application No. 09/837,558 Docket No. 740756-2297

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In research Application of	`	
In regatent Application of: Shunpei YAMAZAKI et al.	)	
	)	Examiner Ngan V. Ngo
Serial No. 09/837,558	)	Group Art Unit: 2814
Filed: April 19, 2001	)	
For: SEMICONDUCTOR DEVICE AND	)	
MANUFACTURING METHOD THEREOF	)	

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 14, 2003.

gnature: Sincla Si

Name: Linda Ritter\_

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Please note the enclosed references were cited in an office action dated June 23, 2003 of a related U.S. application Serial No. 09/837,877.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

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The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (740756-2297)

Respectfully submitted,

y. \_\_\_\_\_\_\_\_\_

Registration No. 35,483

NIXON PEABODY LLP 8180 Greensboro Drive, Suite 800 McLean, Virginia 22102

Telephone: (703) 770-9300

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## Complete if Known Substitute fo 09/837,558 Application Number INFORMATION DISCLOSURE April 19, 2001 Filing Date STATEMENT BY APPLICANT Shunpei YAMAZAKI et al. First Named Inventor (use as many sheets as necessary) 2814 Art Unit Ngan V. Ngo **Examiner Name** Sheet Attorney Docket Number 740756-2297

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2002/0093019 A1	07-18-2002	Hirabayashi et al.	
		US-2003/0038303 A1	02-27-2003	Hashimoto et al.	
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. '	Foreign Patent Document  Kind Code <sup>5</sup> Country Code <sup>3</sup> Number <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		OTHER PRIOR A	RT – NON PATENT L	ITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>		or (in CAPITAL LETTERS), title of the article (when appropriate), title of the rnal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.			
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Examiner	Date	
Signature	Considered	'

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.